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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jiangchun Xu et al.
Application No. : 09/649,811
Filed : August 28, 2000
For : COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS OF
COLON CANCER AND METHODS FOR THEIR USE
Art Unit : 1644
Docket No. : 210121.471C11

Commissioner for Patents
Washington, DC 20231

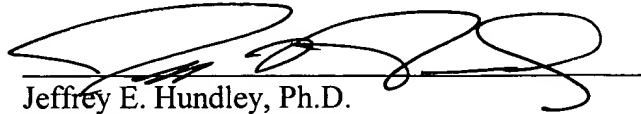
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Documents on the attached Form PTO-1449 were cited in a Search Report for corresponding foreign application PCT/US00/35596, mailed August 17, 2001, a copy of which is enclosed. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC

A handwritten signature in black ink, appearing to read 'JEH', is written over a horizontal line.

Jeffrey E. Hundley, Ph.D.
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PTO/SB/21 (08-00)*

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**(To be used for all correspondence
after initial filing)

| | |
|----------------------|-----------------|
| Application Number | 09/649,811 |
| Filing Date | August 28, 2000 |
| First Named Inventor | Jiangchun Xu |
| Group Art Unit | 1644 |
| Examiner Name | |
| Attorney Docket No. | 210121.471C11 |

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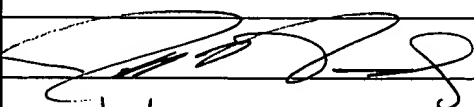
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ENCLOSURES (check all that apply)

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|---|---|---|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-----------------|---|---|
| Individual Name | Jeffrey E. Hundley, Ph.D. 42,676 |  00500 PATENT TRADEMARK OFFICE |
| Signature |  | |
| Date | 9/12/01 | |

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| Typed or printed name | Monica Steinborn | |
| Signature | Monica Steinborn | Date: Sept. 13, 2001 |

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
210121.471C11APPLICATION NO.
09/649,811SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

APPLICANTS
Jiangchun Xu et al.FILING DATE
August 28, 2000GROUP ART UNIT
1644

U.S. PATENT DOCUMENTS

| *EXAMINER INITIALS | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-----------------------|----|-----------------|----------|----------------|-------|----------|-------------------------------|
| | AA | 6,183,968 B1 | 02/06/01 | Bandman et al. | 435 | 6 | |
| | AB | 5,929,033 | 07/27/99 | Tang et al. | 514 | 12 | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|----|--------------------|----------|------------------------|-------------|----|
| | | | | | YES | NO |
| | AC | WO 01/42285 | 06/14/01 | WIPO | | |
| | AD | WO 00/73348 | 12/07/00 | WIPO | | |
| | AE | WO 00/55350 | 09/21/00 | WIPO | | |
| | AF | WO 00/37643 | 06/29/00 | WIPO | | |
| | AG | WO 00/21991 | 04/20/00 | WIPO | | |
| | AH | EP 0 317 141 | 05/24/89 | European Patent Office | | |

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|--|
| | AI | GenBank Accession Number 095362, May 1, 1999. |
| | AJ | Sjögren, H., "Therapeutic immunization against cancer antigens using genetically engineered cells," <i>Immunotechnology</i> 3:161-172, 1997. |
| | AK | |
| | AL | |
| | AM | |

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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